

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ogata et al.

Examiner: Unassigned

Application No.: 10/589,382

Group Art Unit: 1752

Filed: August 15, 2006

Docket: 1608-6 PCT/US

For: **PHOTORESIST COMPOSITION AND METHOD OF FORMING RESIST PATTERN** Dated: November 29, 2007

Confirmation No.: 6030

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

In reviewing the Filing Receipt for the above-identified application, it has come to our attention that the name of the assignee for published patent application has not been correctly listed on the Filing Receipt. In particular, the name of the assignee is listed as "Tokyo Ohkakogyo Co. LTD." The correct name of the assignee should read --"Tokyo Ohka Kogyo Co., Ltd."--.

In support of the correction, enclosed herewith are the following:

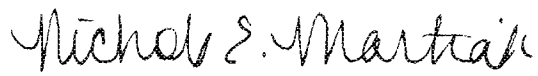
1. A copy of the Filing Receipt including the changes thereon.
2. A copy of the original patent transmittal as submitted on August 15, 2006, indicating that the correct name of the assignee is Tokyo Ohka Kogyo Co., Ltd.
3. A copy of the original Recordation Form Cover Sheet as submitted on August 15, 2006, indicating that the correct name of the assignee is Tokyo Ohka Kogyo Co., Ltd.

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In view of the above, correction of the Filing Receipt is respectfully requested. As this was a USPTO error, Applicants submit that no fees are required to make this correction. However, if any fees are required, please charge to Deposit Account No. 08-2461.

If there are any questions with respect to this matter, please direct them to the undersigned attorney.

Respectfully submitted,

A handwritten signature in cursive script, reading "Nichole E. Martiak". The signature is written in dark ink and is positioned above a horizontal line.

Nichole E. Martiak
Reg. No. 55,832
Attorney for Applicants

HOFFMANN & BARON, LLP
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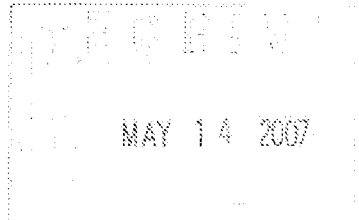


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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/589,382	08/15/2006	1752	900	1608-6 PCT/US	11	1

23869
 HOFFMANN & BARON, LLP
 6900 JERICHO TURNPIKE
 SYOSSET, NY 11791



CONFIRMATION NO. 6030

FILING RECEIPT



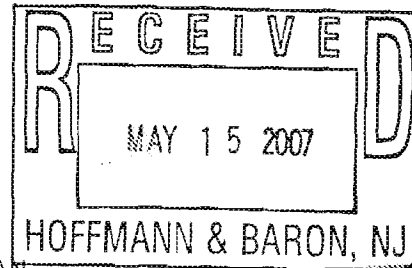
OC000000023742832

Date Mailed: 05/10/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Toshiyuki Ogata, Kanagawa, JAPAN;
 Takuma Hojo, Kanagawa, JAPAN;
 Hiromitsu Tsuji, Kanagawa, JAPAN;
 Takako Hirosaki, Kanagawa, JAPAN;
 Mitsuru Sato, Kanagawa, JAPAN;



Assignment For Published Patent Application

Tokyo ~~Ohkakegyo Co.~~ LTD., Kawasaki-shi, JAPAN
Ohka Kogyo Co.,

Power of Attorney: The patent practitioners associated with Customer Number **23869**.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/01392 02/01/2005

Foreign Applications

JAPAN 2004-043692 02/19/2004

If Required, Foreign Filing License Granted: 05/07/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/589,382**

Projected Publication Date: 08/16/2007

1608-6 PCT/US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**INTERNATIONAL APPLICATION NO.
PCT/JP2005/001392INTERNATIONAL FILING DATE
01 February 2005 (01.02.2005)PRIORITY DATE CLAIMED
19 February 2004 (19.02.2004)

TITLE OF INVENTION

PHOTORESIST COMPOSITION AND METHOD OF FORMING RESIST PATTERN

APPLICANT(S) FOR DO/EO/US

Tokyo Ohka Kogyo Co., Ltd.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☒ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV881317699US**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/JP2005/001392	ATTORNEY'S DOCKET NUMBER 1608-6 PCT/US
23. Other items or information: Application Data Sheet; Return Postcard		
The following fees have been submitted:		CALCULATIONS PTO USE
24. <input checked="" type="checkbox"/> Basic national fee \$300		\$ 300.00
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0 All other situations. \$200		\$ 200.00
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400 All other situations. \$500		\$ 400.00
TOTAL OF 24, 25 and 26 =		\$ 900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)
- 100 =	0 /50 =	0
		x \$250.00
		\$ 0.00
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	11 - 20 =	0
		x \$50.00
		\$ 0.00
Independent claims	1 - 3 =	0
		x \$200.00
		\$ 0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00		
		\$ 0.00
TOTAL OF ABOVE CALCULATIONS =		\$ 900.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		
SUBTOTAL =		\$ 900.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		
TOTAL NATIONAL FEE =		\$ 900.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		
TOTAL FEES ENCLOSED =		\$ 900.00
		Amount to be \$
		Amount to be \$

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 08-2461 in the amount of \$ \$900.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Daniel A. Scola, Jr.
Hoffmann & Baron, LLP
6900 Jericho Turnpike
Syosset, NY 11791

(973) 331-1700

Nichole E. Martiak

SIGNATURE

Nichole E. Martiak

NAME

55,832

REGISTRATION NUMBER

15 August 2006

DATE

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Toshiyuki OGATA Mitsuru SATO
Takuma HOJO
Hiromitsu TSUJI
Takako HIROSAKI

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: TOKYO OHKA KOGYO CO., LTD

Address: 150, Nakamaruko

Nakahara-ku

Kawasaki-shi

City: Kanagawa

State/Prov.: _____

Country: Japan

ZIP: 211-0012

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 6/13/06; 6/26/06; 6/7/06; 6/22/06;

6/22/06

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: August 15, 2006

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel A. Scola, Jr.

Registration No. 29,855

Address: Hoffmann & Baron, LLP

6900 Jericho Turnpike

City: Syosset

State/Prov.: NY

Country: USA

ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nichole E. Martiak

Name of Person Signing

Nichole E. Martiak

Signature

15 August 2006

Date

Total number of pages including cover sheet, attachments, and document:

4